

**MR. WILLIAM DOTY
2 PARK DRIVE SOUTH
RYE, NY 10580**

October 16, 2013

The Honorable Eric T. Schneiderman
Attorney General of the State of New York
The Capitol
Albany, NY 12224-0341

Dear Mr. Schneiderman:

I am the executor of George E. Doty, Sr.'s estate. My parents were lifelong New York State residents and devoted catholic philanthropists. They supported many worthy NY charities including St. Vincent's Hospital Manhattan, St. Vincent's CMC, St. Vincent's Westchester and St. Joseph's Hospital Yonkers. My father was a long tenured trustee and a past chairman of both St. Vincent's Manhattan as well as St. Vincent's Westchester.

During their lifetimes, they gave millions of dollars to the hospital to fund various projects and endowments from both their personal assets as well as from the New York chartered Doty Family Foundation, which they established for philanthropic purposes in the late 1970s. In connection with his estate, I have been reviewing all of his giving records to insure that his and my mother's (she predeceased him in 2008) wishes are continuing to be honored by all of the institutions they supported.

When I reached out to St. Vincent's Westchester to get a report on my parents giving, they said that due to the bankruptcy filing of the parent company that their endowments and designated gifts had been frozen by your office with no indication of when they will be released. I would greatly appreciate an update from your office as it specifically relates to my parents gifts and what the plans are for honoring their donor intent.

As their giving to the hospital extends over a 35-year time horizon, I don't know if I have identified all of the endowment/specific use funding they gave to the hospital, but there are two significant gifts that I wish to draw your attention to for redress.

On March 17, 2003 in connection with a then ongoing capital campaign titled "Guaranteeing the Future of Comprehensive Caring Campaign," for the benefit of the SVCMC Foundation, my parents donated \$1 million to the campaign which was allocated \$500,000 to St. Vincent's Manhattan for emergency room improvements, and the other \$500,000 was earmarked for an ambulatory center at St. Vincent's Hospital Westchester. It was his understanding in late 2003 and my current understanding that the funds intended for St. Vincent's Westchester were never released by the foundation and the project was not completed. It is our understanding the work at St. Vincent's Manhattan was completed as intended. I have included with this letter copies of his correspondence on this subject. As it is now more than 3 full years since St. Vincent's Manhattan officially closed and even longer since the initial bankruptcy petition was filed and more than a decade since my parents gave this donation to the hospital, I request that these funds and any accumulated interest, dividends and investment growth be distributed to St. Vincent's Hospital Westchester with no further delay so the funds may be finally utilized as the donors originally intended.

Although my parents were very generous to St. Vincent's on multiple occasions, they gave a signature gift in 1993/94 to support a capital campaign that was intended to reposition the hospital for the years ahead. As part of this plan, the hospital established the Brian Wert C.H.I.L.D. Center in memory of their deceased grandson. This center used a multi-Disciplinary team approach to screen, manage, treat and refer when appropriate, children with a wide range of developmental problems. A prior unused gift of \$405,000 that was previously allocated to a technology upgrade, a \$250,000 gift contributed in 1992/93 for the renovation of the hospital entry way and a new

gift of \$1,500,000 from the Doty Family Foundation, that was partially paid in December of 1993 and completed in April of 1994, were combined to create a total campaign gift of \$2,155,000.

In a letter (enclosed) dated September 23, 1993 from the then president of St. Vincent's Manhattan Hospital, J. Rock Tonkel that outlines how the funds were to be used, it was stated on page 3 that \$500,000 of their contribution would be used to fund a "Pediatric Endowment." In a letter (enclosed) dated September 28, 1993, from my father on behalf of the Doty Family Foundation, he accepted these terms for this gift.

The Doty Family Foundation has a board consisting of 1 member from each family of Marie & George Doty's five children. As a trustee of the Foundation, I request that this endowment, principal, accumulated interest and dividends and investment growth be distributed with no further delay so that they may be used as intended by the donors. As virtually all of Marie & George's philanthropic giving was directed to Catholic organizations, we wish this endowment to be entrusted to a Catholic organization, preferably St. Joseph's Hospital Yonkers or St. Vincent's Westchester if a suitable purpose can be found.

My parents were incredibly generous to numerous charities in NY and will continue to be long after their deaths through the Doty Family Foundation. They gave 10s of millions of dollars to NY based charities. The protection and execution of donor intent is a fundamental underpinning of charitable giving and of significant importance to our family. I am sure even you will agree that a 10-year delay in the distribution of these funds is nothing short of outrageous and remedy is long overdue.

I am hopeful that your office will provide me with an update on these funds within two weeks of your receipt of this letter. Should there be legal paperwork that needs to be filed to more effectively exercise our rights, the estate is represented by:

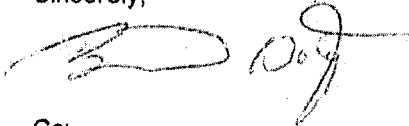
James I. Black, III
Sullivan & Cromwell
125 Broad Street
New York, NY 10004

The Doty Family Foundation is represented by my sister:

Virginia Doty, Esq.
129 East 82nd St.
New York, NY 10028

If you should have any questions, I can be reached at (914)830-0272 or by email at billdoty1@me.com. I look forward to hearing from you.

Sincerely,



Cc:
Robert Astorino
James I Black
Timothy Cardinal Dolan
Virginia Doty
Sr. Jane Iannucelli
Bernadette Kingham-Bez
James Landy
Michael Spicer



THE CAMPAIGN FOR SAINT VINCENT CATHOLIC MEDICAL CENTERS
 Guaranteeing the Future of Comprehensive Caring

Your Gift To Saint Vincent Catholic Medical Centers

It is my pleasure to support Saint Vincent Catholic Medical Centers and its effort to continue providing excellence in compassionate care with the following commitment to SVCMC's **Guaranteeing the Future of Comprehensive Caring Campaign**:

My/Our intent is to contribute a total gift of \$ 1,000,000

This commitment will be paid over 1 years

Please send reminder notices 7/1/03 starting _____

My gift should be recognized/acknowledged as:

George & Marie Doty
 I wish that my gift remain anonymous

Name: Mr. and Mrs. George E. Doty

Address: 61 Park Drive South

City: Rye State: New York Zip: 10580

Phone: (914) 967-0514

Signature: George E. Doty Date: 2/6/03

Gift Designation: _____

Gift Instructions: 1/2 for Westchester
1/2 for Manhattan Emergency Room

Gifts to the **Guaranteeing the Future of Comprehensive Caring Campaign** will be used to fund capital and programmatic needs throughout the SVCMC system. All campaign gifts will be directed to the SVCMC Foundation, which in turn will direct funds to a particular SVCMC facility or program as prescribed by the donor.

Saint Vincent Catholic Medical Centers and the SVCMC Foundation are 501(c)3 organizations. All gifts to the SVCMC Foundation are tax-deductible to the fullest extent of the law. Please return this pledge intent to Todd Brooks, Director of Development, St. Vincent's Hospital Westchester, 275 North Street, Harrison, NY 10528

For additional information please contact the campaign office at (914) 925-5411

Thank you for your generosity!

George E. Doty
61 Park Drive South
Rye, New York 10580

March 17, 2003

Dr. Brian Fitzsimmons,
Saint Vincents Hospital
240 North Street,
Harrison, New York, 10528

Dear Bryan,

In fulfillment of our pledge to the Saint Vincents Catholic Medical Center of New York capital fund drive, Marie and I enclose our check in the amount of \$1,000,000 payable to the SVCMC Foundation. If that is the incorrect payee, please return our check and I will provide one with the correct payee.

Marie joins me in wishing you great success in your fund-raising effort.

Sincerely

George Doty
George E. Doty

GEORGE E. DOTY
MARIE J. DOTY
61 PARK DRIVE SOUTH
RYE, NY 10580-1028

1-210 210 811

3/17/03

Pay to the order of SVCMC Foundation

One Million \$1,000,000.00

CHASE JPMorgan Chase Bank
Personal Financial Services
726 Madison Avenue at 64th Street
New York, NY 10021

Fulfillment of pledge

George E. Doty

0811

REDACTED

Tel. (914) 967-0514 Fax (914) 967-8061 email georgedoty@aol.com

Bernadette Kingham-Bez
Executive Director
St. Vincent's Hospital Westchester
275 North Street
Harrison, N.Y. 10528

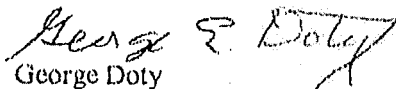
Dear Bernadette,

In 2003 I made a \$1 million contribution to the SVCMC Foundation for its Capital Campaign. My gift targeted two capital needs:

- \$500,000 for the renovation of St. Vincent's Manhattan emergency room which was completed.
- \$500,000 for renovations for an ambulatory center at St. Vincent's Hospital Westchester in Harrison. This project has not yet been initiated.

I would like to re-confirm my original intent and ask that you let me know if there is anything that I need to do to assure that this contribution is made available to St. Vincent's, which is now a division of Saint Joseph's Medical Center.

Cordially,


George Doty

September 23, 1993

Mr. and Mrs. George E. Doty, Sr.
Park Drive South
Rye, New York 10580

Dear George and Marie:

I can't tell you how much it meant to receive your wonderful news this morning. This gift will have a dramatic impact on the Capital Campaign and, more importantly, it will enable St. Vincent's to expand its services to meet a critical community need. As we discussed this morning, we would like to include some of your previous gifts to the Hospital along with this new commitment for your total campaign contribution. The breakdown we would like to propose is as follows: the new pledge of \$1.5 million dollars, the \$250,000 contributed in 92/93 for the renovation of the Hospital doors, and the \$405,000 currently being held in an interest bearing account for the information services project, for a total Campaign contribution of \$2,155,000. The following is a discussion as to the proposed use of these funds.

As recommended in the recent Strategic Plan, St. Vincent's is currently undergoing a major effort to build and strengthen its pediatric services. This effort is two-fold, including the development of programs and services as well as the creation of a Pediatric Endowment.

One of the Hospital's most innovative projects under consideration is the new C.H.I.L.D. (Children's Health, Intervention, Learning and Developmental) Center. The C.H.I.L.D. Center will utilize a multi-Disciplinary team approach to screen, manage, treat, and refer when appropriate, children with a wide range of developmental problems. These problems will include, but are not limited to: cerebral palsy, mental retardation, autism, muscular dystrophies, certain seizure disorders, hearing impairment, visual impairment, speech and language disorders, and learning problems.

The Center will incorporate the disciplines of Parent Education, Pediatrics, Pediatric Neurology, Otolaryngology and Audiology, Pediatric Rehabilitation Medicine, Ophthalmology, Psychology, Psychiatry, Pediatric Orthopedics, Social Work and Speech Pathology - all in a highly coordinated "one stop shopping" clinic environment. Physicians from the various disciplines will commit a certain number of hours to the Center weekly, with a portion of this time exclusively reserved for team conferences to discuss each child's evaluation.

The initial target population will be children from the ages of 0-2 referred from existing Hospital programs. Future plans include expansion to include young children, ages 3-11, and adolescents. Children can be referred to the Center by anyone directly involved with them. This includes parents, physicians, nurses, other healthcare workers, teachers and social service personnel. The service emphasis will be upon identifying those factors which interfere with the expected course of a child's development as early in their lives as possible, in order to make

"early intervention" a reality. The C.H.I.L.D. Center will operate with a family-centered, case management approach to the patient, and will involve the families with the various medical and medically-related disciplines during each step of the process.

Any child who is considered "at risk" will be served by the Center. Included in the risk category for developmental problems are infants with biologic risk factors including prematurity, low birth weight, maternal drug use or other perinatal risk factors, and those with environmental risk factors, i.e. biologically normal infants whose early life experiences, including nutrition, health care, and patterns of social stimulation are so limited that there is a high probability of delayed development.

St. Vincent's maintains valuable relationships with numerous community organizations which have endorsed the creation of a child development center and would utilize its services. Among these are the New York Foundling Hospital, the March of Dimes and the Asian League. In a letter endorsing the Center, Mayu P.B. Gonzales the Director of Mental Health Services at the Foundling Hospital states, "Our programs are all over the city but a good number could use your services on site. The need for well coordinated services to this fragile population of biological and foster families cannot be overstated."

Evaluation, consultations and services will be provided in a central location anticipated to be off-site from the main Hospital complex. The Child Development Unit will make it possible for the Hospital to provide the most comprehensive care while making it easier for families to access care. Based on the most recent Profit and Loss Statements for the Center, the annual operating budget for the Center should be approximately \$600,000. It is anticipated that the Center should be fully self-funding by year II of the project. We would like to use \$600,000 of your total contribution as start-up funds to launch this vital project.

If the project materializes, St. Vincent's proposes that the Center be named 'The George and Marie Doty C.H.I.L.D. Center in recognition of your extraordinary gift to the Capital Campaign.

The second part of strengthening the Pediatric Program at St. Vincent's is the development of a Pediatric Endowment. Historically, healthcare services to children have focussed on critical illness rather than preventive care. This is particularly true in Medicaid families and other medically underserved populations. Pediatric care is seen as the first step in building an effective primary care program, as it establishes positive behavioral patterns.

St. Vincent's is currently the sole provider of pediatric services from 59th Street to the Battery on the West Side of Manhattan. Pediatric care is provided through a variety of clinical sub-specialties and out-patient services. The costs of providing pediatric services are substantial, primarily due to the complexity and duration of care required coupled with comparatively low reimbursement rates.

St. Vincent's has already taken a number of steps toward the strengthening of its pediatric services. These include: the hiring of a new Chairman of the Department of Pediatrics in early 1993; the expansion of the Hospital's Level III Neonatal Intensive Care Unit from 18 to 25 beds; the modernization of the Labor and Delivery suites; the introduction of an Antepartum Testing Unit for high risk pregnancies; and the formation of the new C.H.I.L.D. Center.

The creation of a substantial endowment will enable St. Vincent's to provide a comprehensive range of pediatric services without compromising other essential Hospital programs. To help meet this goal we propose designating \$500,000 of your contribution to the Pediatric Endowment.

As you are well aware, the Hospital is currently holding \$405,000 in an interest bearing account which you contributed in 1989 for the Hospital's Information Services Project. An additional \$120,000 has been realized in investment income. We propose utilizing these funds plus an additional \$400,000 to implement Phase I/Phase II of the Master Facilities Plan. The plan currently includes the following objectives:

- Phase I -**
- Development of Patient Service Center
 - Improvements to Ambulatory Surgery
 - Development of Physician Service Center
 - Replacement of Cardiac Catheterization Equipment
 - Replacement of Special Procedures Equipment
 - Expansion of existing Faculty/Private Practice Medical Offices
- Phase II -**
- Ambulatory Care Center
 - Replacement of Inefficient Patient Units

Finally, the renovation of the Hospital doors is well underway and the Hospital is very excited to see the doors in place. Currently, the Hospital's plan has been submitted to the Landmarks Commission and we are awaiting approval. Once this has been received the plan will move forward immediately.

George and Marie, I can not begin to describe exactly how much your gift and your ongoing commitment to St. Vincent's means to everyone at the Hospital. The Doty name is indelibly linked with the future of St. Vincent's and we would be honored if you would agree to the naming of the George and Marie Doty C.H.I.L.D. Center.

Sincerely,

J. Rock Tonkel
President and
Chief Executive Officer

DOTY FAMILY FOUNDATION
85 BROAD STREET
NEW YORK, NY 10002

JGS

SEP 28 1993

September 28, 1993

OFFICE OF THE PRESIDENT

OCT 4 1993

Mr. J. Rock Tonkel, President
St. Vincent's Hospital & Medical Center
159 West 11th Street
New York, NY 10011

Dear Rock:

This is to confirm our prior conversations to the effect that we will give through the Doty Family Foundation an amount of \$1,500,000, to be used in accordance with your letter of September 23, 1993.

As I mentioned to Mark Ackemann, if the C.H.I.L.D. Center materializes, we would like it to be named for our deceased grandson, Brian Wert, leaving the Doty name out.

We plan to have this amount contributed either entirely in the first quarter of 1994 or partially in the first quarter of 1994 and the balance in the first quarter of 1995.

Let's hope that you will have a very successful capital fund drive.

Sincerely,

George E. Doty
George E. Doty

GED:em

*Jim
Rocky
Mary
some name*

**MR. WILLIAM DOTY
2 PARK DRIVE SOUTH
RYE, NY 10580**

October 21, 2013

Timothy Cardinal Dolan
Archbishop of New York
Office of the Cardinal
1011 First Avenue
New York, NY 10022

Your Eminence:

I am sure you are aware of the history of St. Vincent's Hospital Manhattan and the unfortunate bankruptcy filings of its successor, SVCMC. Since one of the earlier filings in 2003, funds that were contributed by my parents (as well as others) to the SVCMC Foundation for the benefit of St. Vincent's – Westchester and an endowment related to a gift made by the Doty Family Foundation in 1993 have been tied up in a legal morass by the NY Attorney General. St. Vincent's Westchester is in desperate need of these funds so they can maintain their competitiveness in a cutthroat environment. These funds have been tied up for more than a decade and this situation needs to be rectified.

I sent the enclosed letter and supporting documentation to Eric Schnedierman's office last week asking him to release these funds without further delay. In the spirit of this weekend's gospel passage of "The Parable of the Persistent Widow," I am hopeful this outrageous delay will end. But it will take intervention to receive "justice."

And this is where you come in.

I know the Archdiocese is no longer involved in hospitals and I must say that was a brilliant stroke as the operating environment has not improved at any time in my nearly 20 years as a board member. However, my parents' involvement and specifically that of my father was at the request of one of your predecessors, Cardinal Cooke. In light of their tremendous generosity to the hospital and the archdiocese during their lifetimes as well as the Archdiocese's long history with the hospital (including during the period of one of the gifts involved), **I would like you to reach out to the Attorney General and express your desire to see him to bring this issue to a timely conclusion.**

The protection of donor intent is a fundamental underpinning of charity. While the amounts of money involved here are small, the central issue isn't and a just resolution is critically important to our family.

I would greatly appreciate if you could have your office let me know when you have reached out to the Attorney General. They can reach me by email at billdoty1@me.com or by phone at 914-830-0272.

Thanks in advance for your assistance in this matter. I know you will make the difference in moving this case along.

In the meantime, the Resurrection Middle School Gym is nearly ready for use and we are looking forward to seeing you at the upcoming dedication.

Sincerely,



**MR. WILLIAM DOTY
2 PARK DRIVE SOUTH
RYE, NY 10580**

October 21, 2013

Sr. Jane Iannucelli
Sisters of Charity Center
6301 Riverdale Ave.
Bronx, NY 10471-1093

Dear Sr. Jane:

As I am sure you are acutely aware, as a result of St. Vincent's CMC's legendary financial struggles that resulted in a several bankruptcies, monies that were contributed to the hospital for specific purposes have in some instances been frozen for more than a decade.

I am sure you have several donors that were impacted, but I can only speak for my parents who were incredibly generous to St. Vincent's over the past 35 years. As the executor of my father's estate and as a trustee of the Doty Family Foundation, which funded some of their giving to the hospital, I am deeply disturbed at how long it has been taking for these funds to be released so they may go to the purpose ultimately intended.

Last week, I sent the enclosed letter and supporting documentation to Attorney General Eric Schneiderman, highlighting two specific gifts that I am aware of that had restrictions and my sentiments that the delay in releasing these funds is nothing short of outrageous.

In the spirit of this weekend's gospel passage of "the Parable of the Persistent Widow," I am hopeful that this delay will end. But, I believe it will take intervention to receive "justice."

And this is where you come in.

Given how devoted my parents were to catholic charities during their lifetimes and particularly the Sisters of Charity, **I would greatly appreciate it if you would call Mr. Schneiderman's office and express your concern as well.**

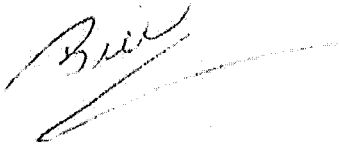
The protection of and execution of donor intent is a fundamental underpinning of charity. While the amounts of money involved here are small, the central issue isn't and a just and timely resolution is critically important to our family.

I am trying to make sure this issue gets proper attention and I would appreciate it if you could have your office let me know when you have reached out to the Attorney

General. I can be reached by email at billdoty1@me.com or by phone at 914-830-0272.

Thanks in advance for your assistance in this matter. I know you will make a huge difference in moving this case along.

Sincerely,

A handwritten signature in cursive script, appearing to read "Bill", followed by a long horizontal flourish line extending to the right.

**MR. WILLIAM DOTY
2 PARK DRIVE SOUTH
RYE, NY 10580**

October 21, 2013

Robert P. Astorino
County Executive
900 Michaelian Building
148 Martine Ave.
White Plains, NY 10601

Dear Rob:

I just wanted to say thank you so much for helping out the Church of the Resurrection with their Con Ed issues. It is still ongoing, but the project is nearing completion and we are so excited.

I also want to say thanks of behalf of the entire Doty family for the Proclamation you gave us in early September. I am sorry we weren't able to attend the event as we were all out of town at the time. I hope you will be able to join us for the dedication of the facility.

I am sorry to have to further call upon your services, but, I need a favor.

As you may be aware, St. Vincent's Westchester in Harrison, NY was formerly a subsidiary of St. Vincent's Manhattan and its successor corporation, SVCMC. St. Vincent's provides critical psychiatric services for vulnerable Westchester residents. It has continually and lovingly provided these services to our community for more than 125 years. Unfortunately, by New York law, psychiatric hospitals cannot operate independently and therefore must be affiliated with a general hospital parent. In St. Vincent's case this was St. Vincent's Manhattan. Sadly due to the crushing competitive conditions in the health care market, SVCMC ultimately filed for bankruptcy reorganization in 2003 and then liquidating bankruptcy in April 2010.

As a result of these filings, monies that were contributed to the hospital and the SVCMC Foundation for specific purposes have in some instances been frozen for more than a decade by the NY Attorney General.

My father was a long tenured trustee of both St. Vincent's Manhattan as well as St. Vincent's Westchester and my parents were very generous to the hospital as well as numerous other local charities.

Specifically, my parents gave restricted funds through personal funds and from the Doty Family Foundation on at least two separate occasions. Once in 1993 for the

Brian Wert C.H.I.L.D. Center and again in 2003 for improvements at St. Vincent's Westchester.

Last week, I sent the enclosed letter and supporting documentation to Attorney General Eric Schneiderman, highlighting the two specific gifts that I am aware of that had restrictions and my sentiments that the delay in releasing these funds is nothing short of outrageous.

The release of these funds is critical to the ongoing viability of St. Vincent's Westchester now part of St. Joseph's Hospital. On behalf of the 897 employees of the hospital, virtually all of whom are Westchester residents and the thousands of patients that the hospital serves annually; **I would greatly appreciate it if you would contact Mr. Schneiderman and express your desire that he brings this case to a timely conclusion.**

The protection of and execution of donor intent is a fundamental underpinning of charity. While the amounts of money involved here are small, the central issue isn't and a just and timely resolution is critically important to our family.

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Thanks in advance for your assistance in this matter. I know you will make a huge difference in moving this case along.

Good luck with your re-election campaign. You can count on my vote.

Sincerely,



**MR. WILLIAM DOTY
2 PARK DRIVE SOUTH
RYE, NY 10580**

October 21, 2013

The Honorable Nita Lowey
222 Mamaroneck Ave., Suite 312
White Plains, NY 10605

Dear Representative Lowey :

I am sorry to have to call upon your services, but, I need a favor.

As you may be aware, St. Vincent's Westchester in Harrison, NY was formerly a subsidiary of St. Vincent's Manhattan and its successor corporation, SVCMC. St. Vincent's provides critical psychiatric services for vulnerable Westchester residents. It has continually and lovingly provided these services to our community for more than 125 years. Unfortunately, by New York law, psychiatric hospitals cannot operate independently and therefore must be affiliated with a general hospital parent. In St. Vincent's case this was St. Vincent's Manhattan. Sadly due to the crushing competitive conditions in the health care market, SVCMC ultimately filed for bankruptcy reorganization in 2003 and then liquidating bankruptcy in April 2010.

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Sincerely,

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