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MR. WILLIAM DOTY 2 PARK DRIVE SOUTH RYE. NY 10580

October 16, 2013

The Honorable Eric T. Schneiderman Attorney General of the State of New York The Capitol Albany, NY 12224-0341

Dear Mr. Schneiderman:

I am the executor of George E. Doty, Sr.'s estate. My parents were lifelong New York State residents and devoted catholic philanthropists. They supported many worthy NY charities including St. Vincent's Hospital Manhattan, St. Vincent's CMC, St. Vincent's Westchester and St. Joseph's Hospital Yonkers. My father was a long tenured trustee and a past chairman of both St. Vincent's Manhattan as well as St. Vincent's Westchester.

During their lifetimes, they gave millions of dollars to the hospital to fund various projects and endowments from both their personal assets as well as from the New York chartered Doty Family Foundation, which they established for philanthropic purposes in the late 1970s. In connection with his estate, I have been reviewing all of his giving records to insure that his and my mother's (she predeceased him in 2008) wishes are continuing to be honored by all of the institutions they supported.

When I reached out to St. Vincent's Westchester to get a report on my parents giving, they said that due to the bankruptcy filing of the parent company that their endowments and designated gifts had been frozen by your office with no indication of when they will be released. I would greatly appreciate an update from your office as it specifically relates to my parents gifts and what the plans are for honoring their donor intent.

As their giving to the hospital extends over a 35-year time horizon, I don't know if I have identified all of the endowment/specific use funding they gave to the hospital, but there are two significant gifts that I wish to draw your attention to for redress.

On March 17, 2003 in connection with a then ongoing capital campaign titled "Guaranteeing the Future of Comprehensive Caring Campaign," for the benefit of the SVCMC Foundation, my parents donated \$1 million to the campaign which was allocated \$500,000 to St. Vincent's Manhattan for emergency room improvements, and the other \$500,000 was earmarked for an ambulatory center at St. Vincent's Hospital Westchester. It was his understanding in late 2003 and my current understanding that the funds intended for St. Vincent's Westchester were never released by the foundation and the project was not completed. It is our understanding the work at St. Vincent's Manhattan was completed as intended. I have included with this letter copies of his correspondence on this subject. As it is now more than 3 full years since St. Vincent's Manhattan officially closed and even longer since the initial bankruptcy petition was filed and more than a decade since my parents gave this donation to the hospital, I request that these funds and any accumulated interest, dividends and investment growth be distributed to St. Vincent's Hospital Westchester with no further delay so the funds may be finally utilized as the donors originally intended.

Although my parents were very generous to St. Vincent's on multiple occasions, they gave a signature gift in 1993/94 to support a capital campaign that was intended to reposition the hospital for the years ahead. As part of this plan, the hospital established the Brian Wert C.H.I.L.D. Center in memory of their deceased grandson. This center used a multi-Disciplinary team approach to screen, manage, treat and refer when appropriate, children with a wide range of developmental problems. A prior unused gift of \$405,000 that was previously allocated to a technology upgrade, a \$250,000 gift contributed in 1992/93 for the renovation of the hospital entry way and a new

gift of \$1,500,000 from the Doty Family Foundation, that was partially paid in December of 1993 and completed in April of 1994, were combined to create a total campaign gift of \$2,155,000.

In a letter (enclosed) dated September 23, 1993 from the then president of St. Vincent's Manhattan Hospital, J. Rock Tonkel that outlines how the funds were to be used, it was stated on page 3 that \$500,000 of their contribution would be used to fund a "Pediatric Endowment." In a letter (enclosed) dated September 28, 1993, from my father on behalf of the Doty Family Foundation, he accepted these terms for this gift.

The Doty Family Foundation has a board consisting of 1 member from each family of Marie & George Doty's five children. As a trustee of the Foundation, I request that this endowment, principal, accumulated interest and dividends and investment growth be distributed with no further delay so that they may be used as intended by the donors. As virtually all of Marie & George's philanthropic giving was directed to Catholic organizations, we wish this endowment to be entrusted to a Catholic organization, preferably St. Joseph's Hospital Yonkers or St. Vincent's Westchester if a suitable purpose can be found.

My parents were incredibly generous to numerous charities in NY and will continue to be long after their deaths through the Doty Family Foundation. They gave 10s of millions of dollars to NY based charities. The protection and execution of donor intent is a fundamental underpinning of charitable giving and of significant importance to our family. I am sure even you will agree that a 10-year delay in the distribution of these funds is nothing short of outrageous and remedy is long overdue.

I am hopeful that your office will provide me with an update on these funds within two weeks of your receipt of this letter. Should there be legal paperwork that needs to be filed to more effectively exercise our rights, the estate is represented by:

James I. Black, III Sullivan & Cromwell 125 Broad Street New York, NY 10004

The Doty Family Foundation is represented by my sister:

Virginia Doty, Esq. 129 East 82nd St. New York, NY 10028

If you should have any questions, I can be reached at (914)830-0272 or by email at <u>billdoty1@me.com</u>. I look forward to hearing from you.

Sincerely,

Cc:

Robert Astorino
James I Black
Timothy Cardinal Dolan
Virginia Doty
Sr. Jane lannucelli
Bernadette Kingham-Bez
James Landy
Michael Spicer